IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James P. BAUKUS, et al.) Re: Information Disclosure Statement

Serial No.: not yet assigned)
Filed: concurrently herewith) Group: 2815

Divisional of USSN 09/882,892) Examiner: Joseph Nguyen filed on June 15, 2001)

For: "A PERMANENTLY ON TRANSISTOR) Our Ref: B-3948DIV 621654-4 IMPLEMENTED USING A DOUBLE)
POLYSILICON LAYER CMOS PROCESS)
WITH BURIED CONTACT") Date: February 26, 2004

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the Applicant's duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the documents cited by the Examiner and/or submitted by the Applicant in connection with U.S. Serial No. 09/882,892 filed on June 15, 2001 (the parent of the Applicants' subject application) be considered by the Examiner before issuing the first Office Action on the merits.

For the Examiner's convenience, Form PTO-1449 (modified) is enclosed herewith listing the documents cited by the Examiner and/or submitted by the Applicant in connection with U.S. Serial No. 09/882,892.

It should be noted that the above-identified application may be related by subject matter to the following U.S. Application(s): 08/869,824, filed June 6, 1997 (now U.S. Patent No. 5,973,375); 09/696,826, filed October 25, 2000; 10/132,523, filed April 24, 2002; 10/637,848, filed August 7, 2003; 10/735,841, filed December 12, 2003; and 09/758,792, the inventor and filing date of which is unknown. Pursuant to 37 C.F.R. 1.56(a) and M.P.E.P. 2004, paragraph 9, the applicant brings these co-pending applications to the attention of the Examiner. The Examiner should consider this information during the prosecution of the above-identified application. However, citation of these applications does not constitute an admission that the claims of the present application are substantially similar or similar to those of the applications listed above.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

If any fees are due, please charge our Deposit Account No. 12-0415 or credit any overpayment to our Account No. 12-0415. No fees should be due because this Information Disclosure Statement is being filed concurrently with the above-identified U.S. patent application.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.) The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

Respectfully submitted,

Ross A. Schmitt

Attorney for Applicant

Reg. No. 42,529

LADAS & PARRY 5670 Wilshire Boulevard

Suite 2100

Los Angeles, CA 90036

Telephone: (323) 934-2300 Telefax: (323) 934-0202

Enclosures: Form PTO-1449 (modified) (6 pages)

Form PTO-1449 (Modified)	ATTY DOCKET NO. B-3948DIV 621654-4	U.S. SERIAL NO. not yet assigned	
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) James P. BAUKUS, et al.		
	FILING DATE concurrently herewith	GROUP 2815	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	3,673,471	6/72	Klein et al.	317	235 R	
	3,946,426	3/76	Sanders	357	71	
	4,017,888	4/77	Christie et al.	357	54	
	4,101,344	7/78	Kooi et al.	148	1.5	
	4,139,864	2/79	Schulman	358	188	
	4,164,461	8/79	Schilling	204	192 EC	
	4,196,443	4/80	Dingwall	357	68	
	4,267,578	5/81	Vetter	364	709	
	4,291,391	9/81	Chatterjee et al.	365	184	
	4,295,897	10/81	Tubbs et al.	148	1.5	
	4,314,268	2/82	Yoshioka et al.	357	48	
	4,317,273	3/82	Guterman et al.	29	571	
	4,322,736	3/82	Sasaki et al.	357	59	
	4,374,454	2/83	Jochems	29	571	
	4,409,434	10/83	Basset et al.	380	265	
	4,435,895	9/84	Parillo	29	571	
	4,471,376	9/84	Morcom et al.	357	71	
	4,581,628	4/86	Miyauchi et al.	357	71	
	4,583,011	4/86	Pechar	307	440	
	4,603,381	7/86	Guttag et al.	364	200	

4,623,255	11/86	Suszko	356	389	
4,727,493	2/88	Taylor, Sr.	364	490	
4,766,516	8/88	Ozdemir et al.	361	380	
4,799,096	1/89	Koeppe	357	42	
4,821,085	4/89	Haken et al.	357	67	
4,830,974	5/89	Chang et al.	437	34	
4,939,567	7/90	Kenney	257	383	
4,975,756	12/90	Haken et al.	357	4.1	
4,998,151	3/91	Korman et al.	257	328	
4,962,484	10/90	Takeshima et al.	365	226	
5,030,796	7/91	Swanson et al.	174	52.2	
5,050,123	9/91	Castro	365	53	
5,061,978	10/91	Mizutani et al.	357	30	
5,065,208	11/91	Shah et al.	357	34	
5,068,697	11/91	Noda et al.	357	23.5	
5,070,378	12/91	Yamagata	357	23.5	
5,101,121	3/92	Sourgen	307	465	
5,117,276	5/92	Thomas et al.	357	71	
5,121,089	6/92	Larson et al.	333	107	
5,121,186	6/92	Wong et al.	257	384	
5,132,571	7/92	McCollum et al.	307	465.1	
5,138,197	8/92	Kuwana	307	449	
5,146,117	9/92	Larson	307	465	
5,168,340	12/92	Nishimura	357	376	
5,177,589	1/93	Kobayashi et al.	257	773	
5,202,591	4/93	Walden	307	450	
5,225,699	7/93	Nakamura	257	306	
5,227,649	7/93	Chapman	257	204	
5,231,299	7/93	Ning et al.	257	316	
5,302,539	4/94	Haken et al.	437	41	

	5 202 522	5 (2)		466	105	
	5,308,682	5/94	Morikawa	428	195	
	5,309,015	5/94	Kuwata et al.	257	659	
	5,317,197	5/94	Roberts	257	401	
	5,336,624	8/94	Walden	437	34	
	5,341,013	8/94	Koyanagi et al.	257	368	
	5,345,105	9/94	Sun et al.	257	659	
	5,354,704	10/94	Yang et al.	437	52	
	5,369,299	11/94	Byrne et al.	257	638	
	5,371,390	12/94	Mohsen	257	209	·
	5,376,577	12/94	Roberts et al.	437	. 52	
	5,384,472	1/95	Yin	257	204	
	5,384,475	1/1995	Yahata	257	314	
	5,399,441	3/95	Bearinger et al.	428	689	
	5,404,040	4/95	Hshieh et al.	257	341	
	5,412,237	5/95	Komori et al.	257	306	
	5,441,902	8/95	Hsieh et al.	437	34	
	5,468,990	11/95	Daum	257	632	
	5,475,251	12/95	Kuo et al.	257	316	
	5,506,806	4/96	Fukushima	365	195	
	5,531,018	7/96	Saia et al.	29	622	
	5,539,224	7/96	Ema	257	211	
	5,541,614	7/96	Lam et al.	343	792.5	
	5,571,735	11/96	Mogami et al.	437	41	
	5,576,988	11/96	Kuo et al.	365	185.04	
	5,611,940	3/97	Zettler	73	514.16	.,
	5,638,946	6/97	Zavracky	200	181	
	5,677,557	10/97	Wuu et al.	257	382	
	5,679,595	10/97	Chen et al.	437	52	
	5,719,422	2/98	Burr et al.	257	336	
	5,719,430	2/98	Goto	257	403	

	5,721,150	2/98	Pasch	437	46	
	5,783,375	7/98	Twist	430	414	
	5,783,846	7/98	Baukus et al.	257	204	
	5,821,590	10/98	Lee et al.	257	377	
	5,838,047	3/99	Yamauchi et al.	257	372	
	5,854,510	12/98	Sur, Jr. et al.	257	529	
	5,866,933	2/99	Baukus et al.	257	368	
	5,880,503	3/99	Matsumoto et al.	257	372	
	5,888,887	3/99	Li et al.	438	525	
	5,895,241	4/99	Lu et al.	438	275	
	5,920,097	7/99	Horne	257	368	
	5,930,663	7/99	Baukus et al.	438	598	
	5,930,667	7/99	Oda	438	622	
	5,973,375	10/99	Baukus et al.			
	5,977,593	11/99	Hara	257	356	
	6,037,627	3/00	Kitamura et al.	257	324	
	6,046,659	4/00	Loo et al.	333	262	
	6,054,659	4/00	Lee et al.	200	181	
	6,064,110	5/00	Baukus et al.	257	652	
	6,057,520	5/00	Goodwin-Johansson	200	181	
	6,080,614	6/00	Neilson et al.	438	238	•
	6,117,762	9/00	Baukus et al.	438	618	
	6,137,318	10/00	Takaaki	326	112	
	6,154,388	11/00	Oh	365	185.04	
	6,215,158 B1	4/01	Choi	257	368	
	6,294,816 B1	9/01	Baukus et al.	257	368	
	6,326,675 B1	12/01	Scott et al.	257	608	
	6,503,787 B1	1/03	Choi	438	214	
	09/696,826		Baukus et al.		,	10/25/2000
	09/090,020		200.00 00 01.			10,23,2000

 10/132,523	Clark, Jr. et al.	4/24/2002
10/637,848	Chow et al.	8/7/2003
10/735,841	Chow et al.	12/12/2003

FOREIGN PATENT DOCUMENTS

			FOREIG	N PATENI	DOCUMENTS
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
98/21734	5/98	WO			
98/57373	12/98	WO			
00/44012	7/86	WO			
0 186 855 A2	7/86	EP			
0 364 769	4/90	EP			
0 463 373	1/92	EP			"
0 528 302 A1	2/93	EP			
0 585 601 A1	3/94	EP			
0 764 985 A2	3/97	EP			
0 883 184 A2	12/98	EP			
0 920 057 A2	6/99	EP			
1 193 758 A1	4/02	EP			
1 202 353 A1	5/02	EP			
2486717	1/82	EP			
58-190064	11/83	JP			
61-147551	7/86	JP			abstract
63-129647 A	6/88	JP			
02-046762	2/90	JP			
 02-237038	9/90	JP			
10-256398	9/98	JP			abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Blythe, et al., "Layout Reconstruction of Complex Silicon Chips," IEEE Journal of Solid-State Circuits, pp 138-145 (February 1993).
	Document No. 02237038, dated December 6, 1990, Patent Abstracts of Japan, Vol. 014, No. 550 (E-1009).
	Document No. 63129647, dated Jun. 2, 1988, Patent Abstracts of Japan, Vol. 012, No. 385 (E-668), Oct. 14, 1998.
	Frederiksen, Thomas M., "Standard Circuits in the New CMOS Era," Intuitive CMOS Electronics, Revised Edition, pp. 134-146 (1989).
	Hodges and Jackson, Analysis and Design of Digital Integrated Circuits, 2nd edition, McGraw-Hill, p. 353 (1988).
	IBM_TDB, "Double Polysilicon Dynamic Memory Cell with Polysilicon Bit Line," pp 3828-3831 (February 1979).
	IBM_TDB, "Static Ram Double Polysilicon Process," pp 3683-3686 (January 1981).
	Larson, L.E., et al., "Microactuators for GaAs-based Microwave Integrated Circuits," <i>IEEE</i> , pp. 743-746 (1991).
	Lee, "Engineering a Device for Electron-beam Probing," IEEE Design and Test of Computers, pp. 36-49 (1989).
	Ng, Kwok K., Complete Guide to Semiconductor Devices, McGraw-Hill, Inc., pp. 164-165, (1995).
	Patent Abstracts of Japan, vol. 016, No. 197 (p-1350) May 12, 1992 & JP-A-40 28 092 (Toshiba Corp), abstract.
	Sze, S.M., ed. <i>VLSI Technology</i> , McGraw-Hill, pp. 99, 447, and 461-465 (1983).
5	Sze, S.M., ed., "Silicides for Gates and Interconnections," VLSI Technology, McGraw-Hill, pp. 372-380 (1983).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.